

#4  
Our Reference No. 10822-21

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )

**Emanuela Mundo et al.** )

Serial No. 10/075,249 )

Filing Date: **February 15, 2002** )

For: **Detection of Antidepressant Induced Mania** )

Art Unit: 1645

Examiner: unknown

The Commissioner of Patents  
& Trademarks  
Washington, D.C. 20231  
U.S.A.

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Dear Sir:

**FILING OF AN INFORMATION DISCLOSURE STATEMENT**

In accordance with 37 CFR 1.97 and 1.98, and in recognition of the duty of disclosure set forth in 37 CFR 1.56, Applicants hereby submit an Information Disclosure Statement on Form PTO-1449 containing a listing of patents and other publications of which Applicant is aware. Applicants are also submitting the references listed on the Information Disclosure Statement.

All of the patents and publications submitted herewith are in the English language. Accordingly a concise explanation of the relevance of the documents is not required.

The Examiner is requested to indicate consideration of these documents by initialing the appropriate column.

Applicants reserve the right to contest the applicability of any of these documents as prior art against the subject application. If the Examiner has any questions concerning this Information Disclosure Statement, he/she is requested to contact the

undersigned. Entry of the enclosed Information Disclosure Statement is believed to be in order and is respectfully requested.

This Information Disclosure Statement is being filed before the issuance of a first official action, and therefore no fees are required. However, please charge our deposit account No. 02-2095 if such a fee is required.

Respectfully submitted,

**Emanuela Mundo et al.**

A handwritten signature in black ink, appearing to read "P. Power". The signature is fluid and cursive, with the first letter "P" being large and prominent.

Patricia Power  
Registration No. P-51,379  
Dated: December 13, 2002

Bereskin & Parr  
Box 401, 40 King Street West  
Toronto, Ontario, Canada  
M5H 3Y2

(416) 364-7311

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 1 of 2

**C mplete if Kn wn**

Application Number	10/075,249
Filing Date	02/15/2002
First Named Inventor	E. Mundo
Group Art Unit	1645
Examiner Name	N/A
Attorney Docket Number	10822-21

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

**Complete if Known**

Application Number	10/075,249
Filing Date	02/15/2002
First Named Inventor	E. Mundo
Group Art Unit	1645
Examiner Name	N/A
Attorney Docket Number	10822-21

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**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1.	LESCH K.P., et al., "Association of Anxiety-Related Traits with a Polymorphism in the Serotonin Transporter Gene Regulatory Region", Science, November 20, 1996, pp. 1527-1531, Vol. 274.	
	2.	HOWLAND R.H., "Induction of Mania With Serotonin Reuptake Inhibitors", Journal of Clinical Psychopharmacology, 1996, pp. 425-427, Vol. 16, No. 16.	
	3.	SOLOMON R.L., et al., "Antidepressant treatment and the occurrence of mania in bipolar patients admitted for depression", Journal of Affective Disorders, 1990, pp. 253-257, Vol. 18.	
	4.	HOEHE M.R., et al., "Serotonin Transporter (5-HTT) Gene Polymorphisms Are Not Associated With Susceptibility to Mood Disorders", American Journal of Medical Genetics (Neuropsychiatric Genetics), 1998, pp. 1-3, Vol. 81.	
	5.	MUNDO E., et al., "Lack of Linkage Disequilibrium Between Serotonin Transporter Protein Gene (SLC6A4) and Bipolar Disorder", American Journal of Medical Genetics (Neuropsychiatric Genetics), 2000, pp. 379-383, Vol. 96.	
	6.	MUNDO E., et al., "The Role of Serotonin Transporter Protein Gene in Antidepressant-Induced Mania in Bipolar Disorder (Preliminary Findings)", Arch Gen Psychiatry, June 2001, pp. 539-544, Vol. 58.	

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